

## CANADIAN STROKE BEST PRACTICE RECOMMENDATIONS

# Transitions of Care Following Stroke Evidence Tables

## Interprofessional Care Planning and Communication

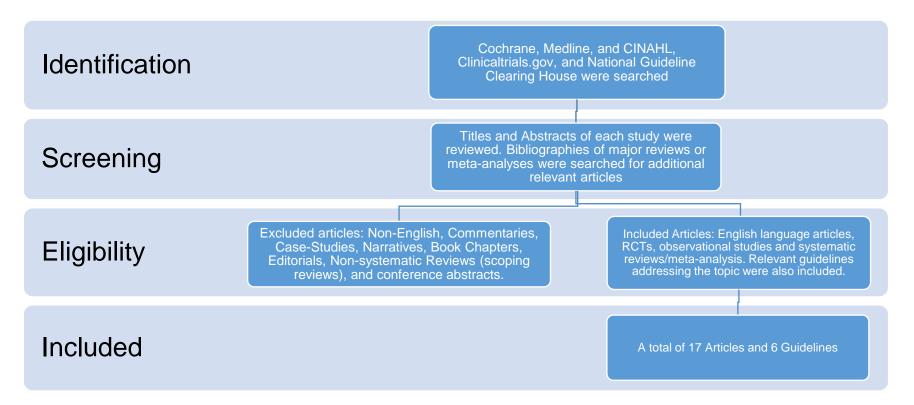
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#### **Table of Contents**

| Search Strategy      | 3  |
|----------------------|----|
| Published Guidelines | 4  |
| Discharge Planning   | 7  |
| Communication        | 13 |
| Reference List       | 17 |

#### **Search Strategy**



Cochrane, Medline, and CINAHL, Clinicaltrials.gov, and National Guideline Clearing House were search using medical subject. Titles and abstract of each article were reviewed for relevance. Bibliographies were reviewed to find additional relevant articles. Articles were excluded if they were: non-English, commentaries, case-studies, narrative, book chapters, editorials, non-systematic review, or conference abstracts. Additional searches for relevant best practice guidelines were completed and included in a separate section of the review. A total of 17 articles and 6 guidelines were included and were separated into separate categories designed to answer specific questions.

## **Published Guidelines**

| Guideline   | Recommendations   |
|---|---|
| Shamji H, Baier RR, Gravenstein S, et al.<br>Improving the quality of care and<br>communication during patient transitions:<br>best practices for urgent care centers. <i>Jt</i><br><i>Comm J Qual Patient Saf</i> 2014;40:319-24 | <ul> <li>Best practices:</li> <li>1. Ask patients for the name of their Primary Care Physician (PCP).</li> <li>2. Ask patients for the name of their home care provider.</li> <li>3. Send summary clinical information to the PCP upon visit completion.</li> <li>4. Send summary clinical information to the home care provider upon visit completion.</li> <li>5. Send summary clinical information to the ED physician upon patient referral.</li> <li>6. Perform modified medication reconciliation upon visit completion.</li> <li>7. Provide patient with effective education upon visit completion.</li> <li>8. Provide patient with written discharge instructions upon visit completion.</li> </ul>  |
| Intercollegiate Stroke Working Party.<br>National clinical guideline for stroke, 4th<br>edition. National Institute for Health and<br>Clinical Excellence London: Royal College of<br>Physicians, 2012.                           | <ul> <li>A All patients discharged from hospital, including those to care homes, who have residual stroke-related problems should be followed up within 72 hours by specialist stroke rehabilitation services for assessment and ongoing management.</li> <li>B Hospital services should have a protocol, locally negotiated, to ensure that before discharge occurs: <ul> <li>patients and carers are prepared, and have been fully involved in planning</li> <li>discharge</li> <li>general practitioners, primary healthcare teams and social services departments</li> <li>(adult services) are all informed before, or at the time of, discharge are in place</li> <li>any continuing specialist treatment required will be provided without delay by an</li> <li>appropriate coordinated, specialist multidisciplinary service</li> <li>patients and carers are given information about and offered contact with appropriate statutory and voluntary agencies.</li> </ul> </li> <li>C Patients being discharged who remain dependent in some personal activities (eg dressing, toileting) should have access to, where appropriate, a transition package of: <ul> <li>pre-discharge of a patient who remains dependent in some activities, the patient's home environment should be assessed and optimised, usually by a home visit by an occupational therapist.</li> </ul> </li> <li>D Before discharge of a patient who remains dependent in some activities, the patient's home environment should be assessed and optimised, usually by a home visit by an occupational therapist.</li> <li>E Provide early supported discharge to patients who are able to transfer independently or with the assistance of one person. Early supported discharge to ensure: <ul> <li>patients and carers see in place to ensure:</li> <li>patients and their families are involved in planning for discharge and carers receive training in care, for example, moving, handling and dressing</li> <li>patients and carers feel adequately prepared and supported to carry out care</li> <li>appropriate agencies (including GPs)</li></ul></li></ul> |
|   | equipment provided until they are demonstrably able to transfer and position the patient safely in the home environment.<br>H All patients should continue to have access to specialist stroke services after leaving hospital, and should know how to  |

| Guideline  | Recommendations  |
|--|--|
| Scottish Intercollegiate Guidelines Network  | <ul> <li>contact them.</li> <li>I Carers of patients with stroke should be provided with: <ul> <li>a named point of contact for stroke information</li> <li>written information about the patient's diagnosis and management plan</li> <li>sufficient practical training to enable them to provide care.</li> </ul> </li> <li>J Health and social service organisations should provide a single point of access to all services for support and advice run by and/or for disabled people.</li> <li>At the time of discharge, the discharge document should be sent to all the relevant agencies and teams.</li> </ul>  |
| (SIGN). Management of patients with stroke:<br>rehabilitation, prevention and management of<br>complications, and discharge planning. A<br>national clinical guideline. Edinburgh<br>(Scotland): Scottish Intercollegiate<br>Guidelines Network (SIGN); 2010 June. |  |
| Management of Stroke Rehabilitation<br>Working Group. VA/DoD clinical practice<br>guideline for the management of stroke<br>rehabilitation. Washington (DC): Veterans<br>Health Administration, Department of<br>Defense; 2010.                                    | <ol> <li><u>Transfer to Community Living:</u> <ol> <li>Recommend that all patients planning to return to independent community living should be assessed for mobility, ADL and IADL prior to discharge (including a community skills evaluation and home assessment).</li> <li>Recommend that the patient, family, and caregivers are fully informed about, prepared for, and involved in all aspects of healthcare and safety needs. [I]</li> <li>Recommend that case management be put in place for complex patient and family situations. [I]</li> <li>Recommend that acute care hospitals and rehabilitation facilities maintain up-to-date inventories of community resources, provide this information to stroke patients and their families and caregivers, and offer assistance in obtaining needed services. Patients should be given information about, and offered contact with, appropriate local statutory and voluntary agencies. [I]</li> </ol> </li> </ol>                       |
|  | <ol> <li><u>Discharge from Rehabilitation:</u> <ol> <li>Recommend that the rehabilitation team ensure that a discharge plan is complete for the patient's continued medical and functional needs prior to discharge from rehabilitation services.</li> <li>Recommend that every patient participate in a secondary prevention program (see Annotation D). [A]</li> <li>Recommend post-acute stroke patients be followed by a primary care provider to address stroke risk factors and continue treatment of co-morbidities.</li> <li>Recommend patient and family are educated regarding pertinent risk factors for stroke.</li> <li>Recommend that the family and caregivers receive all necessary equipment and training prior to discharge from rehabilitation services. [I]</li> </ol> </li> <li>Family counseling focusing on psychosocial and emotional issues and role adjustment should be encouraged and made available to patients and their family members upon discharge.</li> </ol> |
| Clinical Guidelines for Stroke Management<br>2010. Melbourne (Australia): National Stroke<br>Foundation; 2010  | <ul> <li>Safe transfer of care from hospital to community:         <ul> <li>a) Prior to hospital discharge, all patients should be assessed to determine the need for a home visit, which may be carried out to ensure safety and provision of appropriate aids, support and community services [Grade C].</li> <li>b) To ensure a safe discharge occurs, hospital services should ensure the following are completed prior to discharge:                 <ul> <li>Patients and families/carers have the opportunity to identify and discuss their post-discharge needs (e.g. physical, emotional, social, recreational, financial, and community support) with relevant members of the multidisciplinary team [Grade GPP].</li> <li>General practitioners, primary healthcare teams, and community services are informed before or at the time of</li> </ul> </li> </ul> </li> </ul>  |

| Guideline   | Recommendations  |
|---|--|
|   | <ul> <li>discharge [Grade GPP].</li> <li>All medications, equipment and support services necessary for a safe discharge are organized [Grade GPP].</li> <li>Any continuing specialist treatment required is organized [Grade GPP].</li> <li>A documented post-discharge plan is developed in collaboration with the patients and family and a copy provided to them. This may include relevant community services, self-management strategies (e.g. information on medications and compliance advice, goals and therapy to continue at home), stroke support services, any further rehabilitation or outpatient appointments, and an appropriate contact number for any queries [Grade GPP].</li> <li>c) A locally developed protocol may assist in implementation of a safe discharge process [Grade GPP].</li> <li>d) A discharge planner may be used to coordinate a comprehensive discharge program for stroke survivors [Grade D].</li> </ul> |
| Snow V, Beck D, Budnitz T, et al. Transitions<br>of Care Consensus Policy Statement<br>American College of Physicians-Society of<br>General Internal Medicine-Society of Hospital<br>Medicine-American Geriatrics Society-<br>American College of Emergency Physicians-<br>Society of Academic Emergency Medicine. <i>J</i><br><i>Gen Intern Med</i> 2009;24:971-76 | Detailed Recommendations related to:<br>Coordinating Clinicians<br>Care Plans/Transition Record<br>Communication Infrastructure<br>Standard Communication Formats<br>Transition Responsibility<br>Timeliness<br>Community standards<br>Measurement   |

## **Evidence Tables**

### **Discharge Planning**

| Study/Type   | Quality<br>Rating | Sample Description   | Method   | Outcomes   | Key Findings and Recommendations  |
|--|-------------------|--|--|--|---|
| Shepperd et al.<br>2013<br>UK<br>Cochrane Review           | NA                | 24 studies (n=8039) that<br>included all patients who<br>had been admitted to any<br>type of hospital (acute,<br>rehabilitation or<br>community) with any<br>medical or surgical<br>condition.<br>In 16 RCTs patients were<br>admitted with medical<br>conditions, 2 trials<br>admitted patients ≥65<br>years following a fall, 4<br>trials recruited patients<br>with a mix of medical and<br>surgical conditions, and<br>two trials recruited<br>participants from an<br>acute<br>psychiatric ward | Trials evaluated a<br>discharge plan either as<br>a stand-alone<br>intervention, or as a<br>component of a broader<br>intervention vs. usual<br>care in most cases<br>(n=19) | <ul> <li>Primary Outcomes:<br/>Hospital LOS, readmission<br/>rates and discharge<br/>destination</li> <li>Secondary outcomes:<br/>Patient mortality, functional,<br/>psychosocial, quality of life<br/>and health status and<br/>patient and caregiver<br/>satisfaction and health care<br/>costs</li> </ul> | <ul> <li>The use of discharge plans was associated with a significantly reduced LOS: (MD -0.91; 95% CI - 1.55 to -0.27). The results from 10 studies were included.</li> <li>At 3 months following discharge, the use of discharge planning was associated with a significant reduction in readmissions (RR= 0.82; 95% CI 0.73 to 0.92). The results from 12 trials were included.</li> <li>Only 2 trials reported discharge destination as an outcome. In one, patients in the discharge planning group were no more likely to return home, while another reported that patients were more likely to return home. (Difference= 6%; 95% CI 0.4% to 12%).</li> <li>At 6-9 months following discharge, patients in the control group were no more likely to be dead (OR=1.00, 95% CI 0.79-1.26, p=0.99). Results from 6 trials were included.</li> <li>The results from too few studies were available for pooled analysis of the remaining secondary outcomes.</li> <li>No studies included data reporting costs.</li> </ul> |
| Olson et al. 2011<br>USA                                   | NA                | 62 articles published ≥<br>the year 2000,<br>representing 44 studies   | Studies examined<br>post-acute hospitalization<br>transition of care services  | There were 5 key<br>questions:<br>Key Question 1 was related   | <b>KQ1:</b> Transition of care interventions were grouped into four categories: (1) hospital-initiated support for discharge was the initial stage in the   |
| Agency for<br>Healthcare<br>Research and<br>Quality Report |                   | that included adults ≥ 18<br>years old who were<br>discharged, or were<br>preparing to be<br>discharged from a<br>hospital following acute<br>stroke (ischemic or  | as well as prevention of<br>recurrent stroke or<br>MI.   | to identifying the key<br>components of transition of<br>care services, if they can be<br>grouped in a taxonomy, and<br>if they are they based on a<br>particular theory.  | transition of care process, (2) patient and family<br>education interventions were started during<br>hospitalization but were continued at the<br>community level, (3) community-based models of<br>support followed hospital discharge, and (4)<br>chronic disease management models of care<br>assumed the responsibility for long-term care.   |

| Study/Type   | Quality<br>Rating                           | Sample Description  | Method   | Outcomes  | Key Findings and Recommendations  |
|--|---|---|--|---|---|
|  |   | hemorrhagic) and acute<br>MI.<br>Components of transition<br>of care services included:<br>Case management,<br>discharge planning, self-<br>management tools, care<br>pathways, systems for<br>shared access to patient<br>information, referrals to<br>specialty care providers,<br>included as part of the<br>transition of care service<br>and referral back to<br>primary care providers. |  | Key Question 2 asked if<br>transition of care services<br>improve functional status<br>and quality of life and reduce<br>hospital readmission,<br>morbidity, and mortality up<br>to 1 year post event.<br>Key Question 3 asked about<br>potential adverse events<br>associated with<br>transition of care services<br>Key Question 4 asked if<br>transition of care services<br>improve other aspects of<br>care, such as more efficient<br>referrals, more timely<br>appointments, better<br>provider communication,<br>and improved coordination<br>among multiple providers.<br>Key Question 5 asked if the<br>benefits and harms<br>associated with<br>transition of care services<br>vary by sub group (e.g.<br>disease etiology and<br>severity, comorbidities) | <ul> <li>KQ2: There was moderate evidence to support the benefit of early supported discharge for stroke patients. ESD was associated with a reduction in hospital length of stay without negative impact and may also reduce caregiver strain and improve some aspects of quality of life among patients as well as caregivers.</li> <li>KQ3: Insufficient evidence to determine.</li> <li>KQ4: Insufficient evidence to determine.</li> <li>KQ5: No evidence that benefits or harms of transition of care services varied on the basis of patient characteristics, except a greater benefit of services was noted among patients with less severe strokes.</li> </ul> |
| Shyu et al. 2008<br>Shyu et al. 2010<br>(1-year follow-up) | CA: ⊠<br>Blinding:<br>Patient☑<br>Assessor⊠ | 201 patient / informal<br>caregiver dyads. Patients<br>≥65 years with a primary<br>diagnosis of stroke with<br>high-demand discharge  | Within 48 hours of<br>admission to an acute-<br>care hospital,<br>patient/caregiver dyads<br>were randomized to one  | Outcomes:<br>Nurse Evaluation of<br>Caregiver Preparation<br>Scale, Preparedness for<br>Caregiving Scale (caregiver   | From admission to discharge, there were<br>significant improvements in the nurse's evaluation<br>and caregiver's self-evaluation of preparedness<br>among caregivers in the intervention group<br>(p<0.001). Among caregivers in the control group,   |
| Taiwan   | ITT: 🗵                                      | needs who were to be discharged home. 12%   | of 4 wards where they received a caregiver-  | self-evaluation), Caregiver<br>Discharge Needs  | although the nurses reported significant improvement in preparedness, caregivers did not.   |
| RCT  |   | of those screened were<br>eligible for inclusion.<br>At one year, 158<br>patient/caregiver dyads<br>remained in the study.  | oriented discharge<br>planning program (n=97,<br>2 wards) or routine<br>discharge planning<br>(n=104, 2 wards). The<br>discharge planning<br>program was conducted | Assessment Scale,<br>Perception of Balance<br>Between Competing Needs<br>Scale.<br>Assessments were<br>conducted at admission,  | Caregivers in both groups reported increased<br>Satisfaction in Caregiver Needs Satisfaction Scale<br>from discharge to the one-month follow-up<br>(p<0.001).<br>Caregivers in the intervention group demonstrated  |

Interprofessional Care Planning and Communication

| Study/Type               | Quality<br>Rating  | Sample Description  | Method   | Outcomes   | Key Findings and Recommendations   |
|--------------------------|--------------------|---|--|--|--|
|                          |                    |   | by trained research<br>nurses who evaluated<br>caregiver needs during<br>hospitalization and used<br>results to guide<br>individualized<br>interventions, which<br>included both health<br>education and referral<br>services.<br>Once discharged, carers<br>were contacted within<br>one week by telephone<br>and two home visits were<br>made (one week, one<br>month) to advise and<br>support caregivers in the<br>home environment. | discharge, and one-month<br>following discharge. (Not all<br>measures were administered<br>at all assessment points).<br>Follow-up study<br>outcomes:<br>Health-related quality of life<br>(HRQoL; SF-36), quality of<br>care (Family Caregiving<br>Consequence Inventory),<br>health service utilization<br>(readmission, length of stay,<br>and institutionalization), and<br>self-care ability (Barthel<br>Index).<br>Assessments were<br>conducted at 3, 6 and 12<br>months after discharge. | significantly greater caregiver preparedness on<br>both nursing and self-reported evaluations at<br>discharge (both at p<0.01). At the one-month<br>follow-up, those in the intervention group<br>demonstrated significantly greater satisfaction with<br>discharge needs than those in the control group<br>(p<0.001). There were no differences in Perception<br>of Balance Between Competing Needs Scale<br>scores between groups.<br>Dropouts: Intervention group=25 (26%); Control<br>group=18 (17%).<br><b>Follow-up study:</b><br>No significant between-group differences in<br>HRQoL scores for patients or carers were<br>reported. Carers in the intervention group reported<br>significantly better quality of care at 6 months<br>(p<0.01) but not at any other assessment point;<br>however, overall quality of care was reported to be<br>significantly superior in the intervention group over<br>the 1-year follow-up period (p<0.05).<br>No significant group differences were reported with<br>respect to self-care ability or hospital readmissions.<br>However, patients in the intervention group were<br>significantly less likely to be institutionalized<br>between 6 and 12 months post-discharge,<br>compared to those in the control group (p<0.05). |
| Allen et al. 2009<br>USA | CA: ☑<br>Blinding: | 380 patients admitted to<br>the stroke unit of an<br>acute care hospital with | Patients were<br>randomized to receive<br>enhanced post discharge  | Outcomes:<br>NIHSS, Timed Up & Go test,<br>mortality and   | There were no significant differences between<br>groups on any of the outcomes of interest except<br>for significantly increased percentage of patients in   |
|                          | Patient            | ischemic stroke, NIHSS  | care (n=190) or standard   | institutionalization, QoL,   | the intervention group who could correctly identify  |
| RCT                      | Assessor⊠          | score>0, discharged home directly, or within 8                                | care (n=190).  | recurrent stroke, blood<br>pressure, depression (CES-  | stroke symptoms (79% vs. 76%) and risk knowledge (53% vs. 48%).  |
|                          | ITT: 🗹             | weeks of discharge from   | An advanced practice   | D scale), Hgb A <sub>1c</sub> ,  |  |
|                          |                    | hospital following a short stay in a skilled nursing                          | nurse (APN) performed<br>an in-home assessment   | cholesterol, self-reported<br>fall, incontinence, stroke   | Informal tests for potential interactions revealed that persons with a prior history of stroke, TIA or   |
|                          |                    | facility  | within 1 week of   | knowledge and lifestyle  | atrial fibrillation, benefited more from the   |
|                          |                    | · <b>y</b>  | discharge, the results of  | modification (assessed   | intervention in terms of improved neuromotor   |
|                          |                    |   | which were used to by the multidisciplinary team   | using an investigator-<br>generated questionnaire).  | function.  |
|                          |                    |   | to form a care plan that   | generaleu questiormaile).  | Most of the APN time was spent on issues related   |
|                          |                    |   | was provided to the  | All assessments were   | to self-management and medical management  |

| Study/Type       | Quality<br>Rating               | Sample Description   | Method  | Outcomes  | Key Findings and Recommendations   |
|------------------|---------------------------------|--|---|---|--|
| Mayo et al. 2008 | CA: ⊠                           |  | patient's GP. Follow-up<br>by the APN continued for<br>6 months (including<br>home visits and<br>telephone calls) in<br>collaboration with the GP<br>to ensure that all aspects<br>of care were coordinated<br>and delivered.<br>Patients in the standard<br>care group received care<br>by their MD.   | conducted at baseline and at<br>6 months<br>Primary Outcome: The  | issues.  |
| Canada           | Blinding:                       | 190 stroke patients<br>discharged home from 1<br>of 5 acute care hospitals   | Participants were<br>randomized to receive<br>either a case   | Physical Component<br>Summary of the Short-Form-  | The mean number of nurse visits was 4.8 and the mean number of telephone contacts was 7.4.   |
| RCT              | Patient⊠<br>Assessor⊠<br>ITT: ⊠ | <ul> <li>who were identified as<br/>having a specific need<br/>for health care<br/>supervision following<br/>discharge, such as living<br/>alone or having a<br/>medical comorbidity.</li> <li>65% of those screened<br/>for eligibility were<br/>randomized.</li> </ul> | management intervention<br>(n=96) or care as usual<br>(n=94). The intervention<br>involved coordination<br>with the patient's<br>personal physician<br>through telephone<br>contact and home visits<br>with the patient over 6<br>weeks.<br>Persons in the usual care<br>group were instructed to<br>make an appointment<br>with the patient's<br>personal physician as<br>soon as possible | 36 (SF-36).<br>Secondary Outcome:<br>Health Care Utilization, the<br>Medical Component of the<br>SF-36, the EuroQuol EQ-5D,<br>the Preference-Based<br>Stroke Index, the<br>Reintegration to Normal<br>Living Index, the Barthel<br>Index, the Geriatric<br>Depression Scale, Gait<br>Speed, and the Timed Up<br>and Go Test, healthcare<br>utilization.<br>Assessments were<br>conducted at discharge,<br>following the intervention,<br>and 6-months post stroke. | 60% of the patients had suffered moderately-<br>disabling strokes. Patients were discharged home<br>an average of 12 days following admission.<br>There were no significant differences between<br>groups on any of the primary or secondary<br>outcomes at any of the assessment points.<br>From the 6-week to 6-month follow-up, patients in<br>case management group had attended fewer mean<br>specialist outpatient visits (2.2 vs. 3.4, p<0.01).<br>Lost to Follow-up: Intervention group=15 (16%);<br>Control group=18 (19%). |
| Torp et al. 2006 | CA: ☑                           | 189 patients admitted acutely to hospital  | Patients were randomized to a control   | Primary outcome:  | There was no significant difference between groups in mean LOS (35.2 days, intervention vs.  |
| Denmark          | Blinding:<br>Patient <b>⊠</b>   | following a stroke, with functional impairments  | group that received<br>standard treatment (n-   | Secondary outcomes:   | 39.8 days, control).   |
| RCT              | Therapist⊠<br>Assessor⊠         | that required a hospital<br>stay of >1 week beyond<br>their acute stay   | 188) or an intervention<br>group (n=185) who<br>received additional care<br>from a multidisciplinary  | Barthel Index (BI), Frenchay<br>Activities Index (FAI),<br>MMSE, Geriatric Depression<br>Scale, SF-36   | There were no significant differences between groups in readmissions, GP visits, outpatient visits, or contacts with primary healthcare providers.   |

Interprofessional Care Planning and Communication

| Study/Type   | Quality<br>Rating   | Sample Description  | Method   | Outcomes   | Key Findings and Recommendations  |
|--|---|---|--|--|---|
|  |   |   | team through home visits<br>following discharge for up<br>to 30 days and whose<br>home-based care with<br>local home care services<br>was also coordinated by<br>one of the team<br>members.   | Assessments were<br>conducted at baseline,<br>discharge, 6 months and 1<br>year.   | <ul> <li>There were no differences between groups in any of the secondary outcomes at either 6 months, or 1 year.</li> <li>Therapists spent an average of 6.5 hours on home visits and 3.3 hours on transportation per patient.</li> <li>At 12 months 89 patients remained in the intervention group and 87 in the control group.</li> </ul>  |
| Grasel et al. 2005<br>Grasel et al. 2006<br>(long-term follow-<br>up)<br>Germany<br>Controlled Study | CA: ⊠<br>Blinding:<br>Patient⊠<br>Therapist⊠<br>Assessor⊡<br>ITT: ⊠ | 71 patients who had<br>suffered an ischemic or<br>hemorrhagic stroke and<br>required rehabilitation<br>following the acute<br>admission and their<br>carers | Patients were assigned<br>to a standard transition<br>group (control) or an<br>intensified transition<br>group. Patients (and<br>carers) in this group<br>participated in a single<br>psycho-educational<br>seminar (education<br>related to caregiving and<br>resource availability), 3<br>sessions (45-60 minutes<br>each) dedicated to skills<br>training for the carer, and<br>a weekend leave of<br>absence which was<br>supervised by an<br>outpatient care service<br>provider. A 3-month<br>telephone counselling<br>session was also<br>provided. | <ul> <li>Patient outcomes:<br/>Barthel Index (BI), FIM,<br/>Frenchay Arm Test,<br/>Ashworth Scale, SF-36,<br/>Timed Up &amp; Go (TUG),<br/>evidence of paresis (upper<br/>and lower), gait disturbance<br/>(none, mild, major)</li> <li>Carer outcomes:<br/>Giessen Symptom List<br/>(GSL-24), Zerssen<br/>Depression Scale, Burden<br/>Scale for Family Caregivers</li> <li>Assessments were<br/>conducted at baseline<br/>(discharge), and 6 months</li> <li>Follow-up study<br/>outcomes:<br/>Family carers were<br/>contacted by telephone an<br/>average of 31 months<br/>following inclusion of the first<br/>patient in the study to<br/>enquire whether the patient<br/>was still alive, and if so if<br/>they were still residing at</li> </ul> | <ul> <li>At 6 months there were no significant differences<br/>(in change scores) between groups for any of the<br/>patient outcomes, expect that more patients in the<br/>intervention group could complete the TUG (94%<br/>vs. 76%, p=0.04).</li> <li>At 6 months there were no significant differences<br/>(in change scores) between groups for any of the<br/>carer outcomes.</li> <li>4 weeks after discharge, patients in the<br/>intervention group had developed significantly<br/>fewer new illness (6% vs. 245, p=0.044). By 6<br/>months, there were no longer significant<br/>differences between groups (15% vs. 21%).</li> <li>Readmission rates and deterioration in general<br/>health were similar between groups at 4 weeks<br/>(9% vs. 7%) and 6 months (28% in both groups).</li> <li><b>31 month follow-up:</b><br/>Significantly more patients in the intervention group<br/>were alive and living at home (83% vs. 54%) and<br/>fewer patients were living in nursing homes (6%<br/>vs. 14%).</li> <li>Participation in the intervention group was an<br/>independent predictor of remaining at home.</li> </ul> |
| Sulch et al. 2000,<br>2002a), 2002b)<br>UK   | CA: ☑<br>Blinding:<br>Patient⊠<br>Therapist⊠                        | 152 patients with<br>persistent deficits<br>requiring inpatient<br>rehabilitation, who had<br>experienced a stroke  | Participants were<br>randomized to the<br>Integrated Care Pathway<br>group (ICP; n=76) or the<br>conventional care group   | home, or in a nursing home<br><b>Primary outcome:</b><br>LOS<br><b>Secondary outcomes:</b><br>Mortality, institutionalization,   | 72-76% of patients were continent, able to dress<br>independently and were mobile, prior to stroke.<br>There was no significant difference in mean LOS<br>between groups (50 vs. 45 days, p=ns).  |

| Study/Type | Quality<br>Rating   | Sample Description              | Method   | Outcomes   | Key Findings and Recommendations   |
|------------|---------------------|---------------------------------|--|--|--|
| RCT        | Assessor⊠<br>ITT: ⊠ | within the previous 2<br>weeks. | (n=76).<br>The ICP intervention was<br>developed by members<br>of the multidisciplinary<br>team using an<br>evidenced-based<br>approach to identify<br>therapeutic activities<br>associated with best<br>practices, key short-term<br>goals and the time<br>needed to achieve them.<br>The progress of patients<br>in the conventional care<br>group was reviewed in<br>weekly meetings, where<br>short-term goals were set<br>based on progress made<br>to date (i.e. not defined in<br>advance). | Length of stay, Barthel<br>Index, Hospital Depression<br>and Anxiety Scale (HADS),<br>Rankin, and EuroQol Quality<br>of Life Scale.<br>Assessments were<br>conducted at baseline, 1, 4,<br>12, and 26 weeks (not all<br>measures were assessed at<br>the 1 and 4 week follow-up).<br><b>2002a) outcomes:</b><br>Proportion of patients<br>receiving recommended<br>interventions<br><b>2002b) outcomes:</b><br>EurolQol, caregiver strain,<br>patient and carer<br>satisfaction, all assessed at<br>6 months | <ul> <li>There were no significant differences between groups in 6-month mortality (13% vs. 8%) or institutionalization (13% vs. 21)</li> <li>Median BI, Rankin scores and HADS scores were similar between groups at all assessment points.</li> <li>Patients in both groups received similar amount of occupational and physical therapy.</li> <li>2002a) A higher number of caregivers in the conventional care group had their needs assessed separately and their need for skills training assessed (65% vs. 44%, p=0.021). Patient's GPs were notified within 24 hours of discharge more often in the ICP group (80% vs. 45%, p&lt;0.001). Follow-up arrangements were made more often among patients in the ICP group (89% vs. 70%, p=0.024).</li> <li>2002b): Data for 82% (ICP) and 78% (conventional care) were available.</li> <li>Median total EuroQol scores were significantly higher in the conventional care group (72 vs. 63, p&lt;0.005). Patients in the ICP group scored significantly higher on the social functioning domain, while those in the ICP group scored significant differences between groups on the 3 remaining domains.</li> <li>There were no significant differences between groups in caregiver or patient satisfaction with care. Median caregiver strain index score was nonsignificantly higher in the ICP group (5.9 vs. 4.6, p=0.054).</li> </ul> |

## Interprofessional Communication

| Study/Type  | Quality<br>Rating | Sample Description   | Method   | Outcomes  | Key Findings and Recommendations  |
|---|-------------------|--|--|---|---|
| Kripalani et al.<br>2007<br>USA<br>Systematic<br>Review | NA                | 73 studies examining<br>communication deficits<br>between hospitals and<br>primary care providers<br>(n=55) and interventions<br>to improve<br>communication during<br>this transition (n=18, 3<br>RCTs) | Narrative synthesis<br>Interventions varied<br>across studies. The most<br>common were: hand<br>delivery of D/C letter by<br>patient to GP vs. mailed<br>delivery (n=2); Database<br>or computer-generated<br>D/C summary vs. dictated<br>D/C summary vs. narrative D/C summary<br>(n=2) | Studies examining<br>communication deficits:<br>Timeliness and type of<br>information missing from a<br>discharge letter or summary<br>arriving to a primary care<br>physician for a patient<br>discharged from hospital.<br>Intervention studies to<br>improve communication:<br>Not stated a priori | <ul> <li>Timeliness of discharge letter or summary: A median of 53% of discharge letters (range 30%-94%) were received to the primary care physician from hospital within 1 week; 14.5% (range 9% to 20%) of discharge summaries were received within 1 week. Median of 82% (range 77% to 85%) of discharge letters were available in the hospital medical record; 85% (range 82% to 93%) of discharge summaries.</li> <li>Prevalence of Missing Information: Main Diagnoses: A median of 13% (range 2% to 31%) of discharge letters; 17.5% (range 10% to 39%) of discharge summaries were missing main diagnoses. In Hospital Treatment Details: A median of 29.5% (range 22% to 45%) of discharge summaries were missing treatment details. Medications at Discharge: A median of 25% (range 7% to 22%) of discharge summaries were missing treatment details. Plans for Follow-up: A median of 30% (range 23% to 48%) of discharge letters; 14 (range 2% to 43%) of discharge summaries were missing details of a follow-up plan. Patient or family counseling: A median of 92% (range 90% to 92%) of discharge summaries were missing notes on any patient or family counseling. </li> <li>Statistically significant results reported in Intervention Studies: <ul> <li>i) RCTs: A higher percentage of D/C summaries that were hand delivered were received by week 4 following discharge (80% vs. 57%, p&lt;0.001). GPs that received D/C plans from institutions with enhanced D/C planning group had a better understanding of hospital management (96% vs. 62%, p=0.005) and a higher percentage of the GPs rated the quality of the D/C summaries as good or</li> </ul></li></ul> |

| Study/Type  | Quality<br>Rating | Sample Description  | Method  | Outcomes  | Key Findings and Recommendations  |
|---|-------------------|---|---|---|---|
|   |                   |   |   |   | extremely good (96% vs. 48%, p<0.001).<br>ii) Non RCTs with concurrent controls: D/C<br>summaries that were hand-delivered were received<br>by the GP sooner (median 2.5 vs. 7.5 days,<br>p<0.001) and a higher percentage of computer-<br>generated D/C summaries were easier to read and<br>perceived to be of higher quality.<br>iii) Non RCTs with pre-post designs: The overall<br>quality of the D/C summaries was perceived to be<br>higher and the summaries were longer when<br>computer generated, using a standard template, and<br>were received by the GP sooner.  |
| Halasyamani et<br>al. 2006<br>USA<br>Checklist<br>development | NA                | NA  | A discharge checklist<br>designed to identify the<br>critical components in the<br>process when<br>discharging elderly<br>patients from hospital<br>was developed by a<br>Hospital Quality & Safety<br>committee.<br>The process included a<br>literature review,<br>development of a draft<br>checklist by an expert<br>committee, peer review<br>and ratification of final<br>checklist | NA  | <ul> <li>32 studies were identified that were specific to discharge elements, including adverse events and the use of standardized tools to assemble pertinent information at the time of discharge. Most of the studies were related to medication-associated adverse events</li> <li>The final checklist includes 3 types of discharge documents: the discharge summary, patient instruction and communication on the day of discharge to the receiving care provider.</li> <li>Data elements included on the final checklist were: Problem that precipitated hospitalization, key findings and test results, final primary and secondary diagnoses, condition at discharge (functional and cognitive), discharge destination, discharge medications, follow-up appointments, list of pending lab results and person to whom results will be sent, recommendations of sub-specialty consultants, documentation of atypical problems and suggested interventions, 24/7 call-back number, identification of referring and receiving providers, resuscitation status.</li> </ul> |
| Roy et al. 2005<br>USA  | NA                | 2644 consecutive<br>patients discharged from<br>2 tertiary care hospitals | Pending test at the time<br>of discharge were tracked<br>for 14 days, using an  | Prevalence of potentially<br>actionable results returning<br>after discharge, awareness | Out of 2033 pending results, 877 (43%) were<br>abnormal. Of these, 191 (9.1%) were considered to<br>be potentially actionable. 155 surveys were sent to   |
| Prospective   |                   |   | electronic medical record.<br>Abnormal test results   | of the results by inpatient and PCP.  | the associated physicians, of which 105 surveys were returned.  |

Interprofessional Care Planning and Communication

| Study/Type  | Quality<br>Rating | Sample Description  | Method  | Outcomes  | Key Findings and Recommendations  |
|---|-------------------|---|---|---|---|
| study   |                   |   | <ul> <li>were identified and sent<br/>to one of 4 physicians for<br/>review to determine<br/>(subjectively) if the test<br/>results were potential<br/>actionable, based on data<br/>contained in the<br/>discharge summary and<br/>any related test results.</li> <li>A result was considered<br/>potentially actionable if it<br/>could change the<br/>management of the<br/>patient in any way (e.g.<br/>by requiring a new<br/>treatment or diagnostic<br/>test, or discontinuation of<br/>a treatment).</li> <li>Inpatient or primary care<br/>physicians (PCP) were<br/>surveyed to determine if<br/>they were aware of the<br/>test result.</li> </ul> | Inpatient physicians were<br>surveyed 72 hours after a<br>test result became available<br>while PCP were surveyed 14<br>days later. | <ul> <li>61.6% of physicians were unaware of the test result. A higher percentage of inpatient physicians were unaware compared with PCP (71% vs. 46%, p=0.02).</li> <li>33.3% of physicians were unaware that the test in question had been ordered. A higher percentage of PCPs were unaware (45.8% vs. 24.6%, p=0.006).</li> </ul>   |
| Van Walraven<br>et al. 2003<br>Canada<br>Retrospective<br>study | NA                | 888 patients discharged<br>from a single hospital<br>following an acute stay<br>admission for a medical<br>condition. The most<br>common reasons for<br>admission were<br>pneumonia (14.3%),<br>congestive heart failure<br>(9.7%) and<br>asthma/COPD (8.4%).<br>3.6% of patients were<br>admitted for stroke. The<br>mean age was 65.7<br>years. 50.2% female. | The discharge summaries<br>of patients were reviewed<br>to determine the date of<br>discharge and the<br>physician to whom the<br>summary was sent.<br>The investigators<br>determined whether the<br>discharge summary had<br>been received by the<br>physician and if so, if it<br>had been received in time<br>for review prior to a<br>follow-up outpatient visit.  | Independent predictors of<br>readmission 3 months<br>following discharge  | <ul> <li>Median LOS was 4 days. Over the 3 months patients had a median of 4 outpatient visits.</li> <li>Discharge summaries were sent to a median of 2 physicians/patient.</li> <li>The discharge summary was available for 568 of 4,639 outpatient visits (12.2%).</li> <li>There were 240 (27.0%) of patients readmitted urgently to the hospital during the study period.</li> <li>Independent predictors of hospital readmission were: presence of a regular family physician (OR=2.26, 95% CI 1.20-4.29) increasing LOS during first hospital admission (OR=1.31, 95% CI 1.18-1.47), cancer diagnosis (OR=1.55, 95% 1.04-2.29).</li> <li>Independent factors associated with a decreased</li> </ul> |

| Study/Type | Quality<br>Rating | Sample Description | Method | Outcomes | Key Findings and Recommendations   |
|------------|-------------------|--------------------|--------|----------|--|
|            |                   |                    |        |          | odds of readmission were higher income (OR=0.87, 95% CI 0.77-0.98) and a D/C summary being received by at least one physician (OR=0.74, 95% CI 0.50-1.11). |

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